

416 Rec'd PCT/PTO

14 MAR 2000

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**REGISTERED PATENT AGENTS

March 14, 2000



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Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Utility Patent Application
Appl. No. 09/380,704; Filed: September 8, 1999
For: **Identification of Agents for Use in the Treatment of Alzheimer's Disease**
Inventors: Bush *et al.*
Our Ref: 0609.4350001/REF/KKV

Sir:

Transmitted herewith for appropriate action are the following documents:

1. First Supplemental Information Disclosure Statement (in duplicate);
2. 2 sheets of Form PTO-1449 citing 3 documents;
3. A copy of each of the 3 documents cited thereon; and
4. One return postcard.

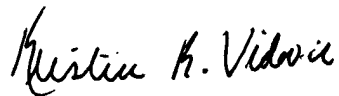
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Assistant Commissioner for Patents
March 14, 2000
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Kristin K. Vidovich
Attorney for Applicants
Registration No. 41,448

KKV/neh
Enclosures

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SKGF Rev. 9/23/98 dcw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BUSH *et al.*

Appl. No. 09/380,704

Filed: September 8, 1999

For: **Identification of Agents for Use in
the Treatment of Alzheimer's
Disease**

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 0609.4350001/REE/KKV



First Supplemental Information Disclosure Statement

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is submitted herewith. The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed on January 18, 2000 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior

art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

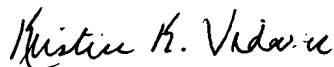
This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

This First Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



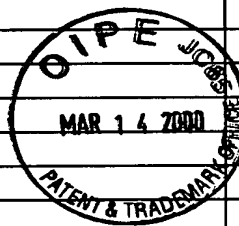
Kristin K. Vidovich
Attorney for Applicants
Registration No. 41,448

Date: March 14, 2000

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| FORM PTO-1449 FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET NO. 0609.4350001/REF/KKV | APPLICATION NO. 09/380,704 |
| | APPLICANT BUSH <i>et al.</i> | |
| | FILING DATE September 8, 1999 | GROUP To be assigned |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----|-----------------|------------|-----------------------------|-------|-----------|-------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
| | AA2 | 5,357,636 | 10/25/1994 | Dresdner, Jr. <i>et al.</i> | 2 | 161.7 | 06/30/1992 |
| | AB | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|----|-----------------|------|---------|-------|-----------|-------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
| | AI | | | | | | Yes No |
| | AJ | | | | | | Yes No |
| | AK | | | | | | Yes No |

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

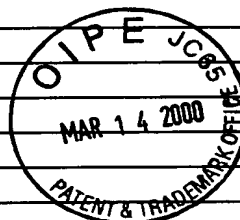
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| | AL | | |
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| | AP | 33 | Nakada, T. and I.L. Kwee, "Guanidinoethane sulfate: brain pH alkaline shifter," <i>NeuroReport</i> 4:1035-1038 (August 1993) |

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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| FORM PTO-1449 FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET NO. 0609.4350001/REF/KKV | APPLICATION NO. 09/380,704 |
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| | AA | | | | | | |
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|--------------------------|----|-----------------|------|---------|-------|-----------|-------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
| | AI | | | | | | Yes No |
| | AJ | | | | | | Yes No |
| | AK | | | | | | Yes No |

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

| | | | |
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| | AL | 34 | Schrader-Fischer, G. and P.A. Paganetti, "Effect of alkalizing agents on the processing of the β -amyloid precursor protein," <i>Brain Research</i> 716:91-100 (April 1996) |
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